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# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration  
Submitted  
With Initial  
Filing

OR

Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number

02SUN2001-A

First Named Inventor

SUN, Lee-Hwei K.

COMPLETE IF KNOWN

Application Number

Filing Date

Art Unit

(1647)

Examiner Name

(Regina M. DeBerry)

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

FC FUSION PROTEIN OF HUMAN ERYTHROPOIETIN WITH HIGH BIOLOGICAL  
ACTIVITIES

(CIP of 09/932,812)

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**DECLARATION — Utility or Design Patent Application**Direct all correspondence to: ☐ Customer Number:  OR ☒ Correspondence address below

Name

Hsiang-ning Sun

Address

4212 Villanova Street

City

Houston

State

Texas

ZIP

77005-3529

Country

USA

Telephone

(713)-666-8819

Fax

(713)-665-5230

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:**

A petition has been filed for this unsigned inventor

Given Name

Lee-Hwei K.

(first and middle [if any])

Family Name

SUN

or Surname

Inventor's  
Signature*Lee-Hwei K. Sun*

Date

*Jan. 20, 2004*

Residence: City

Houston

State

Texas

Country

USA

Citizenship

US

Mailing Address

4212 Villanova Street

City

Houston

State

Texas

ZIP

77005-3529

Country

USA

**NAME OF SECOND INVENTOR:**

A petition has been filed for this unsigned inventor

Given Name

Bill N. C.

(first and middle [if any])

Family Name

SUN

or Surname

Inventor's  
Signature*Bill N. C. Sun*

Date

*2004-1-14*

Residence: City

Shanghai

State

Country

China

Citizenship

USA

Mailing Address

Pharmab, Inc. 518 Bibo Road, Building A, Room 315

City

Shanghai

State

ZIP

201203

Country

China



Additional inventors or a legal representative are being named on the \_\_\_\_\_ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

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**DECLARATION****ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**

Page \_\_\_\_\_ of \_\_\_\_\_

<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Cecily R. Y.		SUN	
Inventor's Signature <i>Cecily R. Y. Sun</i>		Date <i>1/14/04</i>	
Residence: City	State	Country	Citizenship
Shanghai		China	USA
Mailing Address 518 Bibo Road, Building A, Room 315			
Mailing Address			
City	State	Zip	Country
Shanghai		201203	China
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

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# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

Application Number	
Filing Date	
First Named Inventor	SUN, Lee-Hwei K.
Title	Fc Fusion Proteins of Human...
Art Unit	(1647)
Examiner Name	(Regina M. DeBerry)
Attorney Docket Number	02SUN2001-A

I hereby appoint:

☐ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:

Name	Registration Number
Hsiang-ning Sun	39849

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

<input checked="" type="checkbox"/> Firm or Individual Name	The SUN Law Office PLLC				
Address	4212 Villanova Street				
Address					
City	Houston	State	Texas	Zip	77005-3529
Country	USA				
Telephone	(713)-666-8819	Fax	(713)-665-5230		

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Name	Lee-Hwei K. Sun				
Signature	<i>Lee-Hwei K. Sun</i>				
Date	Jan. 20, 2004			Telephone	(713)-666-8819

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 3 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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CORRESPONDENCE ADDRESS  
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Filing Date	
First Named Inventor	SUN, Lee-Hwei K.
Title	Fc Fusion Proteins of Human...
Art Unit	(1647)
Examiner Name	(Regina M. DeBerry)
Attorney Docket Number	022001SUN-A

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Hsiang-ning Sun	39849

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)
**SIGNATURE of Applicant or Assignee of Record**

Name	Bill N. C. Sun		
Signature	<i>Bill N. C. Sun</i>		
Date	2004-1-14	Telephone	011-86-21-5080-3028

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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Name	Registration Number
Hsiang-ning Sun	39849

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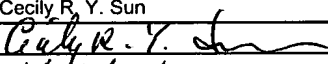
OR

<input checked="" type="checkbox"/> Firm or Individual Name	The SUN Law Office PLLC				
Address	4212 Villanova Street				
Address					
City	Houston	State	Texas	Zip	77005-3529
Country	USA				
Telephone	(713)-666-8819	Fax	(713)-665-5230		

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)
**SIGNATURE of Applicant or Assignee of Record**

Name	Cecily R. Y. Sun		
Signature			
Date	1/14/04	Telephone	011-86-21-5080-3028

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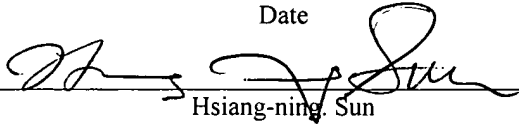
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January 21, 2004

Date



Hsiang-ning Sun

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